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TECH CENTER 1600/2900

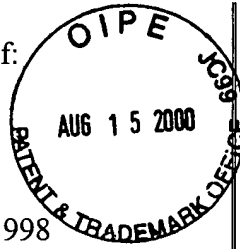
**In the United States Patent & Trademark Office**

In Re Patent Application of:  
**Siani, M.A. et al.**

Serial No.: 09/144,838

Filed: August 31, 1998

For: **Modular Protein Libraries and  
Methods of Preparation**



Examiner: Wessendorf, T.

Art Unit: 1627

Atty. Dkt.: 3504.183 GRFN 020/01-US

#17  
JRP  
8/21/00

**Response**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully Respond to the Official Action of July 17, 2000,  
issued with respect to the present application.

The Official Action indicated that the previously provided computer readable diskette of Sequence Listings failed to comply with the provisions of 37 CFR § 1.821 et seq. This matter was discussed with the Examiner by telephone on August 8, 2000. The Examiner determined that a substitute computer readable diskette complying with 37 CFR § 1.821 et seq. had been received, and that the Sequence Listing requirements stated in the Official Action had been satisfied.

The Examiner confirmed, however, that copies of references submitted with Applicants' Information Disclosure Statement of January 29, 1999, were not in the file of the present application. Copies of those references are enclosed herewith.

Having now fully responded to the issues raised by the Examiner, Applicants respectfully submit that the present application is now in condition for Examination, and earnestly solicit early notice of favorable action.

Response to Official Action  
Of July 17, 2000

U.S. Patent Appln. Serial No: 09/144,838

Inventors: Siani, M.A. et al.

Page 2

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Applicants greatly appreciate the assistance of the Examiner. The Examiner is invited to contact the undersigned with respect to any issues regarding this application.

Date: 15-Aug-2000

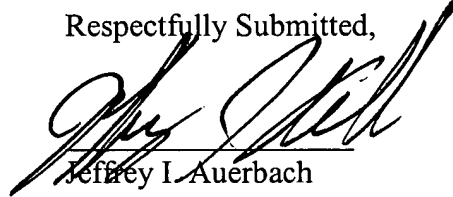
Liniak, Berenato, Longacre & White, LLC  
6550 Rock Spring Drive, Suite 240

Bethesda, MD 20817

Telephone: (301) 896-0600

Facsimile: (301) 896-0607

Respectfully Submitted,



Jeffrey I. Auerbach

Reg. No. 32,680

Attorney for Applicants

AUG 15 2000

Approved for use through 9/30/000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

Complete if Known

Application Number	09/144,838
Filing Date	August 31, 1998
First Named Inventor	Siani et al.
Group Art Unit	1615
Examiner Name	Not yet assigned
Attorney Docket Number	GRFN-020/01US

TOTAL AMOUNT OF PAYMENT (\$)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 03-3117  
Deposit Account Name: Cooley Godward LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
-20 =	X		
-3 =	X		
Multiple Dependent Claims			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_  
Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or Printed Name	James A. Bradburne, Ph.D.	Date	January 27, 1999	Reg. Number	38,389
Signature		Deposit Account User ID	03-3117		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Tim will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231